

# Coordinated ASV-UAV control for marine collision-free navigation

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**Abstract**—There is an increasing interest in replacing a unique, complex, and expensive vehicle equipped with several sensors with a group of small vehicles, each of them carrying fewer sensors. There are several advantages in these segmented architectures, such as cost, flexibility, redundancy, and robustness, among others. The advantage of segmented architectures is even more noticeable if the vehicles carrying those sensors have different characteristics or environments of operation, e.g. aerial, terrestrial or marine vehicles. This work proposes a multi-robot system where an autonomous marine vehicle avoids obstacles relying on aerial images provided by an autonomous flying vehicle. Both robots navigate in a coordinated fashion increasing the detection area and allowing to adjust the obstacle detection horizon. In order to validate the control scheme two simulation scenarios are presented.

**Index Terms**—Mobile robotics, unmanned aerial vehicles, autonomous surface vehicle, cluster space control

## I. INTRODUCTION

In recent years, great progress has been made on the use of autonomous surface vehicles (ASV) in water domain applications, such as water sampling [1], oil skimming [2] and surveillance [3]. Advances on electronic sensors, miniaturization of electric motors and advances on lithium-ion batteries, allowed designers to think of small vehicles as a feasible option for a variety of applications. Although small vehicles can carry just a few sensors, they are cheaper and simpler to design, build and deploy, making them an interesting platform, specially for shallow water operations, see for instance [4], [5], and [6].

At the same time, Unmanned Aerial Vehicles (UAV) development has grown exponentially. They have the advantage of being able to see a wide panorama from the sky, making them useful for several applications such as monitoring tasks and surveillance. In [7] this property has been used to help an ASV navigate in an unstructured environment. This aerial perspective is beneficial to complement the navigation information acquired by the ASV, which usually relies on local information.

UAV and ASV collaboration has been proven to be effective for several tasks, in particular for environmental monitoring applications, such as characterization of highly dynamic coastal regions [8], oil spill mitigation [9], among others.

In [7] the UAV serves as an additional sensor, providing information to the ASV. Due to weight restrictions, the ASV navigation computer has more computational power than the UAV embedded computer. This is the reason why all the information is sent to the ASV and the marine vehicle runs the guidance algorithm. However, in some scenarios, a more reactive strategy should be adopted. In this work it is assumed that, instead of sending navigation information to the ASV to be processed afterwards, information from the UAV is used to generate appropriate commands to navigate the environment in execution time.

For systems of multiple mobile robots, one of the key technical considerations is the technique used to coordinate the motions of the individual vehicles. A wide variety of techniques have been and continue to be explored, drawing on work in control theory, robotics, and biology [2] and applicable for robotic applications throughout land, sea, air, and space. Notable work in this area includes the use of leader-follower techniques, in which follower robots control their position relative to a designated leader [10], [11], [12]. A variant of this is leader-follower chains, in which follower robots control their position relative to one or more local leaders, which, in turn, are following other local leaders in a network that ultimately is led by a designated leader [13]. Researchers have demonstrated the use of automated tugboat fleets and swarm navigation techniques in order to move other ships [14] or the use of two ASVs for tele-supervised sensing of aquatic phenomena such as harmful algae blooms [15]. These solutions had been implemented with robots in the same domain (water or air or ground). Working with multi-domain vehicles allows users to achieve complex tasks thanks to the flexibility of the system. However, the control strategy has to take into account the constraints and dynamics of each vehicle. The cluster space [16] approach is a formation control method that promotes the simple specification and monitoring of the motion of a mobile multi-robot system. The strategy conceptualizes the n-robot system as a single entity, a cluster, and desired motions are specified as a function of cluster attributes, such as position, orientation, and geometry.

This work is particularly focused on the ability to navigate an autonomous marine surface vehicle avoiding collisions.

Such collisions may be due to obstacles on the water or the coastlines of the water course being traversed. Usually, obstacle detection relies on onboard sensors, such as lidars [17], cameras [18], or sonars [19]. This work proposes a distributed system where a camera is situated onboard an unmanned aerial vehicle flying above the ASV. This allows to improve the detection area and adjust the obstacle detection horizon.

A multi-robot formation definition is proposed to properly specify and monitor the parameters of a collision avoidance algorithm, such as imaged area and relative camera pose with respect to the marine vehicle. Based on the image-processing algorithm, a controller is defined to guide the formation through a collision-free course. Numerical simulations in different situations are presented to validate the approach.

## II. MULTI-ROBOT FORMATION APPROACH

This work implements the cluster space control method to specify the task at hand. This method allows for the selection of a set of independent system state variables suitable for specification, control, and monitoring tailored to the problem to be solved. These state variables form the system's cluster space. Cluster space state variables may be related to robot-specific state variables, actuator state variables, etc. through a formal set of kinematic transforms. These transforms allow cluster commands to be converted to robot specific commands, and for sensed robot-specific state data to be converted to cluster space state data. As a result, a supervisory operator or real-time pilot can specify and monitor system motion from the cluster perspective. The hypothesis is that such interaction enhances usability by offering a level of control abstraction above the robot and actuator-specific implementation details [20]–[23].

In this work we present a cluster space control scheme applied to an ASV-UAV formation. The UAV is equipped with a RGB Camera pointing downwards, with the main goal of detecting the ASV, obstacles and the coast-line for navigation purposes. With this setup, an operator can control the position of the cluster, the imaged area or swath width, and orientation for the camera, as well as the forward/backward or the left/right offsets of the UAV with respect to the ASV. While the operator or supervisor algorithm can specify values for these parameter, the cluster space control method will control the individual vehicles' positions to meet such specifications.

The first step in the implementation is the selection of an appropriate set of cluster space state variables. To do this, we introduce a cluster reference frame and select a set of state variables that capture key pose and geometry elements of the cluster.

### A. Cluster Space State Variables

Figure 1 depicts the relevant reference frames for the UAV-USV formation and the selected cluster space parameters.

We have chosen to locate the cluster frame  $\{C\}$  coincident with the ASV position and orientation. Based

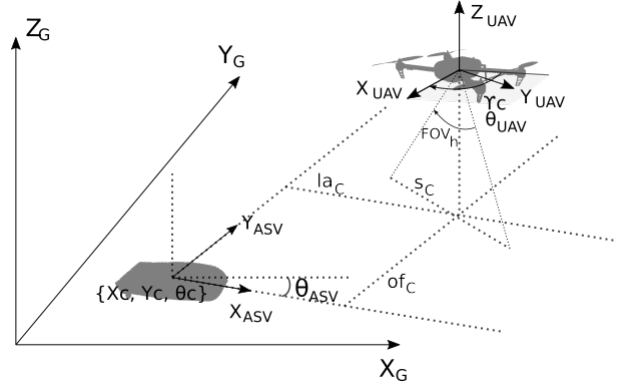


Fig. 1. Cluster Space Variables and frames

on this, the seven robot space state variables corresponding to the 3-DOF ASV pose and the 4-DOF UAV pose  $\vec{r} = (x_{ASV}, y_{ASV}, \theta_{ASV}, x_{UAV}, y_{UAV}, z_{UAV}, \theta_{UAV})^T$  are mapped into seven cluster space variables  $\vec{c} = (x_c, y_c, \theta_c, s_c, la_c, of_c, \gamma_c)^T$ , where  $(x_c, y_c, \theta_c)$  defines the cluster frame, the swath or imaged area width is defined by  $s_c$ , the backward/forward offset between ASV and UAV is defined by  $la_c$ , the left/right offset is defined by  $of_c$ , and UAV (i.e., camera) orientation is defined by  $\gamma_c$ . Controlling the last four parameters, an operator can change the image taken by the UAV's onboard camera.

### B. Kinematic Equations

The forward position kinematics are the set of equations that allow the transformation from vehicles variables  $\vec{r}$  to cluster variables  $\vec{c}$ .

$$\vec{c} = KIN(G\vec{r}) \quad (1)$$

where

$$x_c = x_{ASV} \quad (2)$$

$$y_c = y_{ASV} \quad (3)$$

$$\theta_c = \theta_{ASV} \quad (4)$$

$$s_c = 2z_{UAV} \tan\left(\frac{FOV_h}{2}\right) \quad (5)$$

$$la_c = \cos(\theta_{UAV})\Delta_x + \sin(\theta_{UAV})\Delta_y \quad (6)$$

$$of_c = -\sin(\theta_{UAV})\Delta_x + \cos(\theta_{UAV})\Delta_y \quad (7)$$

$$\gamma_c = \theta_{UAV} \quad (8)$$

with:  $\Delta_x = x_{UAV} - x_{ASV}$  and  $\Delta_y = y_{UAV} - y_{ASV}$ , and  $FOV_h$  is the horizontal field of view of the on-board camera.

The inverse kinematics allow the cluster variables to be transformed back into robot variables.

$$G\vec{r} = KIN^{-1}(\vec{c}) \quad (9)$$

where

$$x_{ASV} = x_c \quad (10)$$

$$y_{ASV} = y_c \quad (11)$$

$$\theta_{ASV} = \theta_c \quad (12)$$

$$x_{UAV} = x_c + l_{a_c} \cos(\gamma_c) - o_{f_c} \sin(\gamma_c) \quad (13)$$

$$y_{UAV} = y_c + l_{a_c} \sin(\gamma_c) + o_{f_c} \cos(\gamma_c) \quad (14)$$

$$z_{UAV} = \frac{s_c}{2 \tan(\frac{FOV_h}{2})} \quad (15)$$

$$\theta_{UAV} = \gamma_c \quad (16)$$

By differentiating the forward and inverse position kinematics, the forward and inverse velocity kinematics can easily be derived, obtaining the jacobian  ${}^G J({}^G \vec{r})$  and inverse jacobian  $J^{-1}(c)$  matrices relating parameter velocities in both spaces:

$$\dot{\vec{c}} = {}^G J({}^G \vec{r}) {}^G \dot{\vec{r}} \quad (17)$$

$${}^G \dot{\vec{r}} = J^{-1}(c) \dot{\vec{c}} \quad (18)$$

### C. Cluster Space Controller

Figure 2 shows the navigation reference generator system. It takes cluster velocity ( $V_c$ ), orientation ( $\theta_c$ ), and UAV-ASV offsets ( $l_{a_c}$ ,  $o_{f_c}$ ) from an operator and an image from UAV's on-board camera and calculates cluster parameter references. Figure 3 presents the control architecture for trajectory based cluster space control of an ASV-UAV system. A cluster level PID controller compares cluster position and velocity with desired trajectory values and outputs cluster commanded velocities, which are translated into individual robot velocities through the inverse jacobian. Data from the robots are converted to cluster space information through the forward kinematics and jacobian and fed back into the controller. The non-holonomic constraint given by the differential drive motion of the ASV effectively reduces its mobility from three degrees of freedom down to two. Due to this, the UAV-ASV cluster becomes a six-DOF system. As a consequence, an inner-loop ASV-level heading control is needed and the cluster space controller does not regulate the cluster parameter corresponding to the yaw orientation of the ASV relative to the cluster.

### D. Obstacle Avoidance Algorithm

The UAV is equipped with an RGB camera, pointing downwards in order to detect the ASV and obstacles. The acquisition process is made by an OpenCV-to-ROS bridge. The image obtained is subjected to a cascade of image processing filters. The first step is the conversion from RGB to gray-scale image. The second stage applies a threshold filter in order to obtain a segmented version of the original image. After this, the white part of the image represents the water and the black part represents the ASV and the obstacles. In order to remove some water artifacts, a dilatation filter followed by an erosion filter are applied. The object detection happens on the top 100

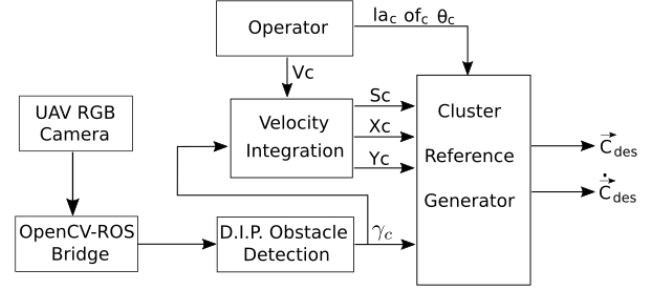


Fig. 2. Navigation reference generator diagram

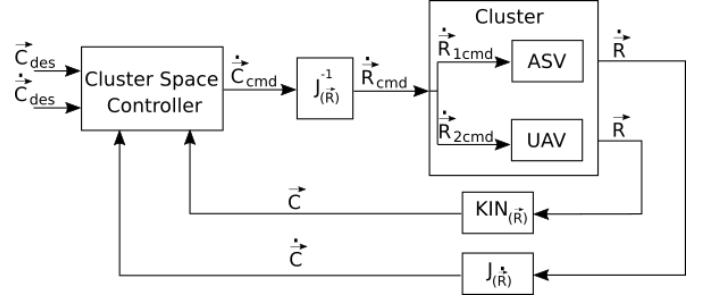


Fig. 3. Cluster space control architecture for an ASV-UAV system

pixels of the image. On that region a momentum calculation is performed and its X coordinate is evaluated. Without obstacles, the X value is  $horizontal\_pixels/2$ . With an object in the right (left) side of the image, this value decreases (increases), giving an indication of the obstacle location.

## III. NUMERIC VALIDATION

In this section, the simulation setup used to numerically validate the control scheme presented in the previous section is described.

The simulation was implemented on a desktop PC running Ubuntu 16.04 x86\_64, with 8 GB of RAM and an Intel's i7 core. The simulation runs in a Robot Operating System (ROS)—Kinetic—and Gazebo 7.0. environment. The UAV was modeled using a Gazebo plugin from the Autonomous System Lab of ETH Zürich University [24] for the 3D-Robotics' IRIS quadcopter and the PX4 firmware. The communication with the model was done through a MAVROS interface. An  $800 \times 800$ -pixel RGB camera plugin is used to get an image from the UAV. With this setup and controlling the flight altitude of the UAV, objects with dimensions from a couple of centimeters to some meters can be detected. The world models used were generated with a tool developed by Clear Path Robotics [25]. The ASV model, from the same authors, consists of a differential-drive boat with two thrusters at the stern, commanded with forward speed and angular velocity around the  $z$  axis. The cluster space control logic is programmed as *ROS nodes* using *python* language. These nodes are connected to the simulated vehicles through *ROS*

topics. Fig. 4 shows the simulated vehicles within the Gazebo simulator.

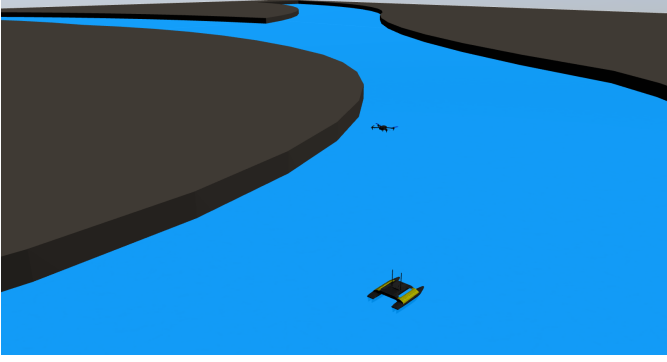


Fig. 4. ASV and UAV in the Gazebo environment simulator

The goal of this setup is to perform autonomous navigation over a course of water while performing object avoidance.

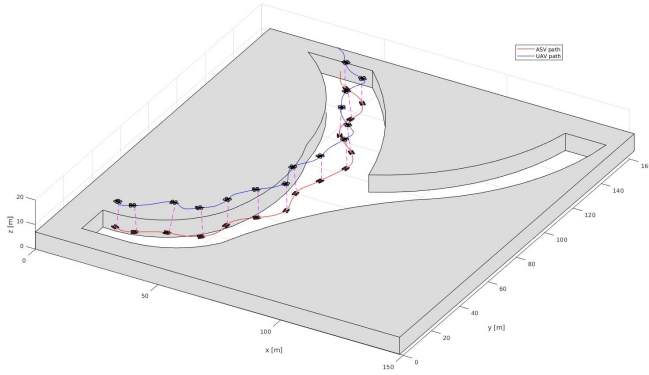


Fig. 5. Path of the ASV and UAV over a water course

#### IV. RESULT

In order to validate our approach, two simulation scenarios are evaluated.

##### A. Navigation over a course of water

In this scenario, initial cluster state parameters are  $X_c = 0, Y_c = 0, \theta_c = 0, s_c = 10[m], la_s = 0, of_s = 0, \gamma_c = 0$ . A forward cluster velocity  $V_c$  is commanded and the formation starts moving. This velocity provides  $(V_{X_c}, V_{Y_c}) = (V_c \cos(\gamma_c), V_c \sin(\gamma_c))$ , where  $\gamma_c$  is set by the obstacle avoidance algorithm. Velocities are then integrated in order to produce new  $(X_c, Y_c)$  references. When *D.I.P. Obstacle Detection* block (see fig. 2) detects a coastline, the position (left/right) of the detected coast is used by the *Cluster Reference Generator* block in order to change the cluster state parameter  $\gamma_c$  and so to change the direction of the forward velocity  $V_c$ . The formation continues moving with its new orientation while *Cluster Reference Generator* produces new forward position references. In the presence of a new detected coast, the previous steps are repeated.

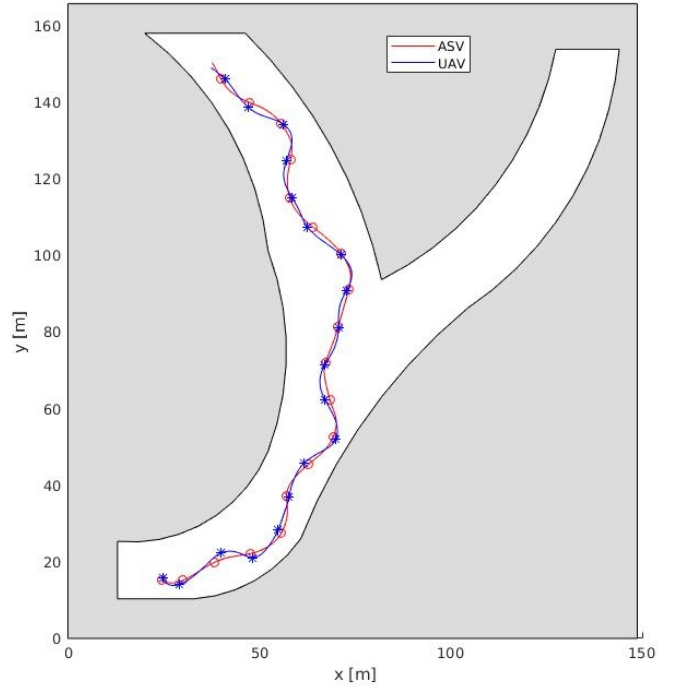


Fig. 6. Top view of Fig. 5

As seen in Fig. 5 and 6, the formation autonomously navigates the water course, detecting the coast-line and making corrections to the position and orientation reference values.

##### B. Object avoidance

In this scenario, the main goal is to avoid objects in the formation path while performing autonomous navigation. For this purpose, navigation starts with a constant cluster forward velocity  $V_c$ . The UAV flies at 7m above the ASV. When an object is detected in the path of the ASV, an avoidance maneuver is applied to the formation by changing the  $\gamma_c$  reference angle with a sign according to which side of the ASV the obstacle is on. Once the formation negotiates the object,  $\gamma_c$  is set back to its initial value.

Figures 7 and 8 show a 3D and top view of the second simulation scenario. It can be seen that the formation is maintained while the obstacles encountered along the way are avoided by the ASV.

Figure 9 shows a sequence of four images from the UAV camera. The red dot is the calculated top region momentum after image binarization. In the first image the red dot is at the center of the frame, indicating that there are no obstacles in the formation path. In the second image, the red dot is at the right part of the image indicating that there is an obstacle at the left of the navigation path. The Cluster Reference Generator block commands a positive value for  $\gamma_c$  and the formation turns in clock-wise direction. Once the obstacle was avoided the resulting momentum is at the center of the image and a  $\gamma_c = 0$  is commanded (image 3). Finally, in the fourth image, the formation continues navigating until the next obstacle is detected.

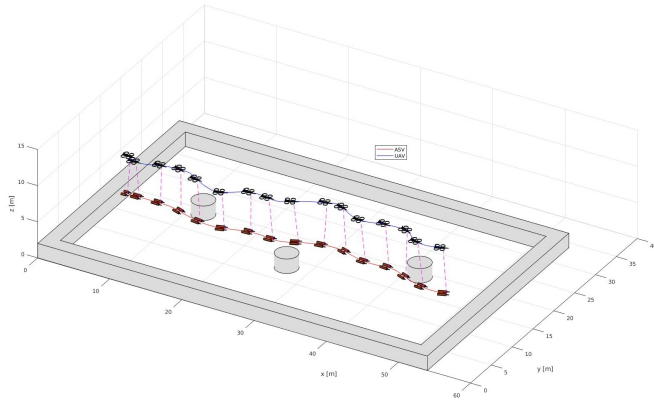


Fig. 7. 3d view of the object avoidance implementation

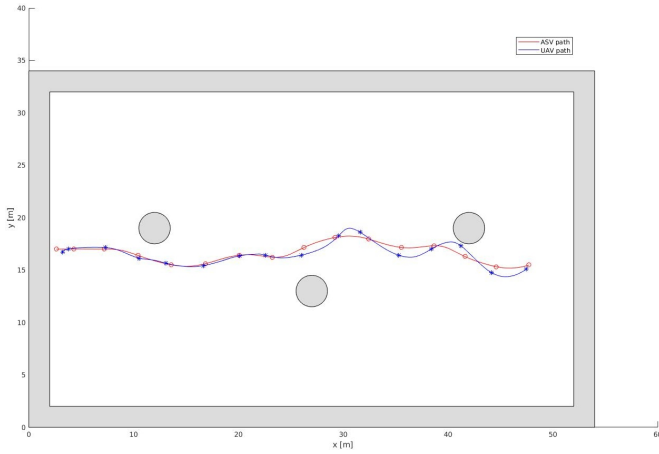


Fig. 8. Top view of the object avoidance implementation of Fig. 7.

## V. CONCLUSION

In this work, an ASV-UAV formation that works in a coordinated fashion using the cluster space control technique is presented. Each robot operates in a different domain and therefore has a different sensing capabilities of the surrounding environment. The formation definition allows to define in a simple way the characteristics of the group that are relevant to a vision-based obstacle avoidance algorithm. The UAV equipped with an RGB camera identifies distant objects and avoidance maneuvers can be executed accordingly. The concept of sensors spatially distributed over a formation of autonomous robots allowed to solve the task of collision-free navigation in a simple way.

Two scenarios were presented in order to validate the proposed approach. Both show a good performance of the implemented system. The concept of using a formation of robots working in different domains to achieve collision-free navigation, was numerically validated. Future work will focus on obtaining experimental results and on analysing how a supervisory control can vary formation parameters to dynamically adjust the obstacle avoidance algorithm behavior to different environment characteristics.

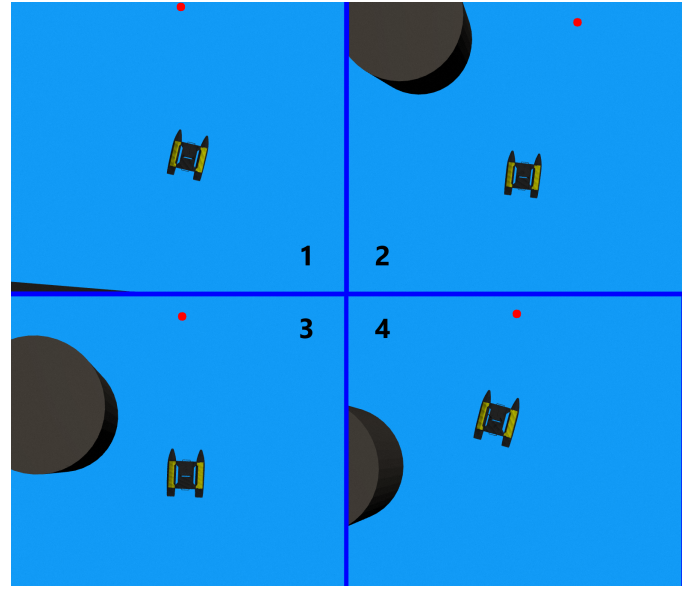


Fig. 9. Sequence of images from the UAV onboard camera

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